



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): J. BARTL et al

Group Art Unit: 1614

Serial No.: 10/825,683

Examiner: Unassigned

Filed: April 16, 2004

Atty Docket: SYN-0038A

For: RISPERIDONE MONOHYDROCHLORIDE

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

December 8, 2004

Dear Sirs:

Applicants hereby submit a copy of each document listed on the attached PTO-1449 Form, except the US Patent and published application documents, for entry into the above-identified application and consideration by the Examiner. All documents are in the English language.

Additionally, Applicants wish to bring to the Examiner's attention co-pending application serial no. 10/825,684 also filed on April 16, 2004, entitled "Water soluble Salts of Risperidone," which is commonly owned herewith.

This Information Disclosure Statement is being submitted before a first Office Action on the merits under 37 C.F.R. § 1.97(b), and thus no fee is due.

This Statement should not be construed as a representation that a search has been made, that information cited in the statement is considered to be and/or is material to patentability, or that information more material to examination of the present patent

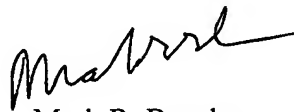
application does not exist. The Examiner is specifically requested not to rely solely on the documents mentioned herewith.

Having complied with rules 97 and 98, applicants request that the listed documents be officially considered by the Examiner, that the Examiner initial and return a copy of the 1449 Form, and that the listed documents be printed among the "References Cited" on any patent to issue from this application.

Should the Examiner have any questions regarding this application, the Examiner is requested to contact applicants' representative, Mark R. Buscher (Reg. No. 35,006) at telephone number 703 753 5256.

Please charge any fee deficiency, and credit any over payment, to Deposit Account No. 502877.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Mark R. Buscher", written in a cursive style.

Mark R. Buscher  
Reg. No. 35,006

P.O. Box 161  
Catharpin, VA. 20143  
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Encl.: 1449 form  
EP 0 196 132

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/825,683
Filing Date	4/16/2004
First Named Inventor	Jiri BARTL et al.
Art Unit	1614
Examiner Name	unassigned
Attorney Docket Number	SYN-0038A

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4,335,127	06-15-1982	Vandenberk et al	
		US- 4,342,870	08-03-1982	Kennis et al.	
		US- 4,443,451	04-17-1984	Kennis et al.	
		US- 4,485,107	11-27-1984	Kennis et al.	
		US- 4,804,663	02-14-1989	Kennis et al.	
		US- 5,158,952	10-27-1992	Janssen et al.	
		US- 5,453,425	09-26-1995	Francois et al.	
		US- 5,482,943	01-09-1996	Kennis et al.	
		US- 5,616,587	04-01-1997	Francois et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EP 0 196 132 B1	08-12-1992	Kennis et al.		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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